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June 18, 2002



FILE: UTSC:652US

**CERTIFICATE OF MAILING**  
 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below:

June 18, 2002  
 Date

*Priya D. Subramony*  
 Priya D. Subramony

**BOX MISSING PARTS**

Commissioner for Patents  
 Washington, DC 20231

RE: *U.S. Patent Application No. 09/998,009 entitled "CDDO-COMPOUNDS AND COMBINATION THERAPIES THEREOF" – Marina Konopleva et al. (Client reference: MDA00-010)*

Sir:

Please find enclosed:

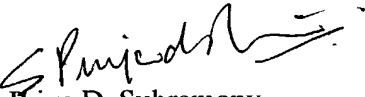
- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed December 18, 2001;
- (2) Two Declarations;
- (3) Two Powers of Attorney;
- (4) Substitute Drawings, Figures 1-35 on 35 sheets;
- (5) Request for Extension of Time;
- (6) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(c)-Filing Date Granted;
- (7) Check in the amount of \$1938.00; and

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June 18, 2002  
Page 2

- (8) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10109288/SLH.

Respectfully submitted,



Priya D. Subramony  
Reg. No. 50,939  
Patent Agent

PDS/mar  
Encl: as noted

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Marina Konopleva et al.

Serial No.: 09/998,009

Filed: November 28, 2001

For: CDDO-COMPOUNDS AND  
COMBINATION THERAPIES THEREOF



Group Art Unit: 1614

Examiner: Unknown

Atty. Dkt. No.: UTSC:652US

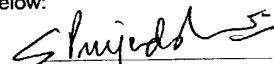
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37 C.F.R. 1.8**

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June 18, 2002

Date

  
Priya D. Subramony

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated December 18, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Marina Konopleva, Michael Andreeff and Michael B. Sporn;
- (b) Two Powers of Attorney on behalf of Board of Regents, The University of Texas System and The Trustees of Dartmouth College;
- (c) Substitute Drawings, Figures 1-35 on 35 sheets;

- (d) Our check in the amount of \$1938.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00); additional claims fee (\$783.00); four month extension of time fee (\$720.00);
- (e) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted; and
- (f) A Request for Extension of Time of four months to and including June 18, 2002. Should such request be absent, consider this such a request and authorization to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

Assignments to Board of Regents, The University of Texas System and The Trustees of Dartmouth College and checks for \$40.00 each are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10109288/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Priya D. Subramony  
Reg. No. 50,939  
Agent for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: June 18, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,009	11/28/2001	Marina Konopleva	UTSC:652US 10109288

FULBRIGHT & JAWORSKI L.L.P.  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701



CONFIRMATION NO. 7245

## FORMALITIES LETTER



\*OC00000007208385\*

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ORIGINALLY FILED Date Mailed: 12/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/02/2002 SHINASS1 00000003 09998009

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	531.00 OP
03 FC:202	252.00 OP
04 FC:205	65.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$783.
  - \$531 for 59 total claims over 20.
  - \$252 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1218.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*MD*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE